

BOARD OF REGENTS  
UNIVERSITY OF MINNESOTA  
MINNEAPOLIS, MINNESOTA 55455  
April 1, 2002

TO: Office of Sea Grant  
NOAA, U. S Department of Commerce

TITLE OF PROGRAM: **Great Lakes Regional Fisheries Extension Enhancement:  
Great Lakes Fisheries Leadership Institute**

AMOUNT REQUESTED: \$10,000

MATCHING FUNDS PROPOSED: \$ 5,000

DESIRED GRANT PERIOD: 12 months, Beginning September 1, 2002

We, the undersigned, certify that in the event this proposal is accepted, in whole or in part, our signatures on this proposal constitute acceptance of, and compliance with, statutes and regulations of the U.S. Department of Commerce.



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Kevin J. McKoskey  
Grant Manager  
Sponsored Projects Administration  
University of Minnesota  
450 Gateway Bldg, 200 Oak Street  
Minneapolis, MN 55455-2070  
Phone (612) 624- 5599  
Fax (612) 624-4843



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Jeffrey L. Gunderson  
Director  
Minnesota Sea Grant Program  
University of Minnesota  
2305 East Fifth Street  
Duluth, MN 55812  
Phone (218) 726-8715  
Fax (218) 726-6556

**SEA GRANT BUDGET FORM 90-4**

<b>GRANTEE:</b> Regents of the University of Minnesota		<b>GRANT/PROJECT NO.:</b>	
<b>PRIN. INVST.:</b> Jeffrey L. Gunderson			
<b>PROJ TITLE:</b> Fisheries Extension Enhancement: Great Lakes Fishery Leadership Institute		<b># MONTHS:</b> 12	
		<b>DURATION:</b> 9/1/02 - 8/31/03	
<b>A. SALARIES AND WAGES:</b>		<b>Sea Grant Funds</b>	<b>Matching Funds</b>
	man-months		
	No. of People	Amount of Effort	
1. Senior Personnel			
a.(Co) Principal Investigator	1	0.5	2846
b. Assoc (Faculty or Staff)			
Sub Total:			2846
2. Other Personnel			
a. Professionals:			
b. Research Associates:			
c. Res. Asst./Grad Students:			
d. Prof. School Students:			
e. Pre-Bachelor Student(s):			
f. Secretarial-Clerical:			
g. Technicians:			
h. Other:			
Sub Total:			
<b>Total Salaries and Wages:</b>			
<b>B. FRINGE BENEFITS:</b>			
1. Academic Fringe	33.1%		942
2. Civil Service Fringe:	31.1%		
<b>Total Fringe:</b>			942
<b>Total Personnel (A AND B):</b>			3788
<b>C. PERMANENT EQUIPMENT:</b>			
<b>D. EXPENDABLE SUPPLIES AND EQUIPMENT:</b>			
1.			
<b>Total Exp Supplies &amp; Equipment:</b>			
<b>E. TRAVEL:</b>			
1. Domestic		7576	
2. International			
<b>Total Travel:</b>		7576	
<b>F. PUBLICATION AND DOCUMENTATION COSTS:</b>			
<b>G. OTHER COSTS:</b>			
2.			
<b>Total Other:</b>			
<b>TOTAL DIRECT COST (A through G):</b>		7576	3788
<b>INDIRECT COST (ON CAMPUS 33% OF TDC):</b>		2424	1212
<b>INDIRECT COST (OFF CAMPUS % OF ):</b>			
<b>TOTAL COSTS:</b>		10000	5000

BOARD OF REGENTS  
UNIVERSITY OF WISCONSIN SYSTEM

April 2002

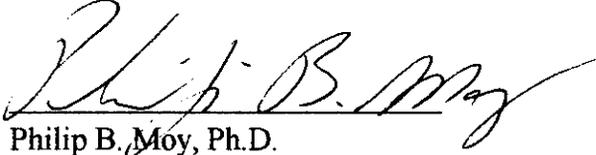
TO: National Sea Grant College Program  
NOAA, U.S. Department of Commerce

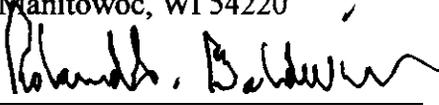
TITLE OF PROPOSAL: Great Lakes Fisheries Leadership Institute - Wisconsin  
Budget

AMOUNT REQUESTED: \$20.000

MATCHING FUNDS: **Sufficient** match is contained in Wisconsin Omnibus  
award NA16RG2257

PERIOD OF SUPPORT: September 1, 2002, through August 31, 2003

PRINCIPAL INVESTIGATOR:   
Philip B. Moy, Ph.D.  
Fisheries Specialist  
UW-Manitowoc  
705 Viebahn Street  
Manitowoc, WI 54220

INSTITUTIONAL REPRESENTATIVE:   
Roland A. Baldwin, Ph.D.  
Campus Dean  
UW-Manitowoc  
705 Viebahn Street  
Manitowoc, WI 54220

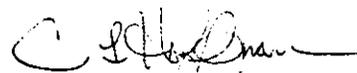
SEA GRANT BUDGET FORM 90-4

GRANTEE: Wisconsin Sea Grant Institute  
SUBPROGRAM: Advisory Services  
PROJECT TITLE: Great Lakes Fisheries Leadership Institute - Wisconsin Budget

PRINCIPAL INVESTIGATOR: Philip Moy  
PROJECT NUMBER: A/AS-52 PROJECT STATUS: 8 DURATION: 9/01/02 - 8/31/03

	No. Months	Sea Grant \$	Grantee \$
<b>A. SALARIES AND WAGES</b>			
1. Senior Personnel			
a. (Co) Principal Investigator:			
b. Associates (Faculty or Staff):			
Sub Total:			
2. Other Personnel			
a. Professionals:			
b. Research Associates:			
c. Res. Asst./Grad. Students:			
d. Prof. School Students:			
e. Pre-Bachelor Students:	1	3.00	4,750
f. Secretarial-Clerical:			
g. Technicians:			
h. Other:			
Total Salaries and Wages:		4,750	
<b>B. FRINGE BENEFITS (When charged as direct cost):</b>			
Total Personnel (A and B):		95	
		4,645	
<b>C. PERMANENT EQUIPMENT:</b>			
<b>D. EXPENDABLE SUPPLIES AND EQUIPMENT:</b>			
<b>E. TRAVEL</b>			
1. Domestic U.S. (Inc. Puerto Rico)		13,120	
2. International			
Total Travel:		13,120	
<b>F. PUBLICATION AND DOCUMENTATION COSTS:</b>			
<b>G. OTHER COSTS</b>			
1.			
2.			
3.			
4.			
5.			
6.			
Total Other Costs:			
<b>H. TOTAL DIRECT COSTS (A through G):</b>			
		17,965	
<b>I. INDIRECT COST (UW-Manitowoc) 42.0% of 4.045</b>			
INDIRECT COST Off Campus		2,035	
Total Indirect Cost:		2,035	
<b>J. TOTAL COSTS:</b>			
		20,000	**

"Sufficient match is contained in Wisconsin Omnibus award NA16RG2257



Charles L. Hoffman, Admin. Officer  
Research & Sponsored Programs

APR 5 2002

# Budget Detail

**Project Title:** Great Lakes Fisheries Leadership Institute-Wisconsin Budget

**Investigators:** Philip Moy

**Project Number:** A/AS-52

**Budget Period:** 9/01/02 - 8/31/03

<b>B. Fringe Benefits</b>	<b>Rate</b>	<b>Base</b>	<b>Amount</b>
A.2.e. Pre-Bachelor Students	2.00%	4,750	<u>95</u>
Total			<u><u>95</u></u>

<b>E. Travel</b>	<b>Amount</b>
14 people to attend regional planning meeting for three days.	
Meals, 14 people x \$40/day x 3 days	1,680
Lodging, 14 people x \$100/day x 3 days	4,200
Transportation, 14 people x \$500/each	7,000
1 person to coordinate meeting arrangements	
Meals \$40; Lodging \$100, ground transportation \$100	240
Total	<u><u>13,120</u></u>

<b>I. Indirect Costs</b>	<b>Rate</b>	<b>Base</b>	<b>Amount</b>
Salary and fringe benefits	42.00%	4,845	2,035

Mar 7, 2002

NOAA  
Nat'l Oceanic & Atmospheric Admin  
1315 East-West Highway  
Silver Spring, MD 20910

U of I REF No.: 02-COMO-W-0539  
TITLE: Great Lakes Fisheries Leadership Institute  
AMOUNT: \$20,000  
PERIOD: 06/01/02 - 05/30/03  
PRINCIPAL INVESTIGATOR: Richard Warner  
DEPARTMENT: ACESX  
TYPE OF REQUEST: &New Request, Supplement,  
Continuation, Renewal for Existing Award

REVISION OF ORIGINAL PROPOSAL TRANSMITTED ON

PROPOSAL NUMBER:

Enclosed are copies of the above referenced proposal. This proposal has been approved for submission by the proper University administrative official(s).

Your consideration will be appreciated. Any contract or grant supporting the above described project must be issued in the University's corporate name, The Board of Trustees of the University of Illinois.

Any questions of a non-technical nature regarding this proposal should be addressed to the individual marked below at (217) 333-2187.

Carl Moore                      Vicki Fair **XX**                      Danny Lienard                      Sue Rhodes                      Suzanne Rinehart  
Susan Zukosky                      Lauren Goralski                      Amy Wendling

Sincerely,



Kathy S. Young, Associate Director  
Grant and Contract Administration

KSY:pd

Enclosure

cc: Dept. ✓

**APPLICATION FOR  
FEDERAL ASSISTANCE**

OMB Approval No. 0348-0043

<b>2 DATE SUBMITTED</b> 3/7/02	Applicant Identifier 02-COM-w-0539
<b>3. DATE RECEIVED BY STATS</b>	State Application Identifier
<b>4. DATE RECEIVED BY FEDERAL AGENCY</b> Federal Identifier	

**1. TYPE OF SUBMISSION:**

Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction	Preapplication <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction
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**5. APPLICANT INFORMATION**  
Legal Name: The Board of Trustees of the University of Illinois

Organizational Unit: Illinois-Indiana Sea Grant ACES College Program

Address (give city, county, State, and zip code):  
c/o Grants And Contracts  
801 South Wright Street  
Champaign, Illinois 61820

Name and telephone number of person to be contacted on matters involving this application (give area code)  
Richard Warner (Technical) 217-333-5199  
Vicki Fair (Business) 717-333-71x7

**6. EMPLOYER IDENTIFICATION NUMBER (EIN):**  
37-6000511

**7. TYPE OF APPLICANT:** (enter appropriate letter in box)

<input type="checkbox"/> A. State	<input type="checkbox"/> H. Independent School Dist.
<input type="checkbox"/> B. County	<input type="checkbox"/> I. State Controlled Institution of Higher Learning
<input type="checkbox"/> C. Municipal	<input type="checkbox"/> J. Private University
<input type="checkbox"/> D. Township	<input type="checkbox"/> K. Indian Tribe
<input type="checkbox"/> E. Interstate	<input type="checkbox"/> L. Individual
<input type="checkbox"/> F. Intermunicipal	<input type="checkbox"/> M. Profit Organization
<input type="checkbox"/> G. Special District	<input type="checkbox"/> N. Other (Specify) _____

**8. TYPE OF APPLICATION:**

New     Continuation     Revision

If Revision, enter appropriate letter(s) in box(es)       

A. Increase Award    B. Decrease Award    C. Increase Duration  
D. Decrease Duration    Other (specify): \_\_\_\_\_

**9. NAME OF FEDERAL AGENCY:**  
NOAA/Sea Grant

**10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:**  
11-417

**11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:**  
Great Lakes Fisheries Leadership Institute

**12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):**  
Illinois and Indiana

**13. PROPOSED PROJECT**    **14. CONGRESSIONAL DISTRICTS OF:**

Start Date	Ending Date	a. Applicant	b. Project
6/1/02	5/30/03	IL-15	IL-15 and IN-I

**15. ESTIMATED FUNDING:**

a. Federal	\$	20,000	.00
b. Applicant	\$	10,000	.00
c. State	\$		.00
d. Local	\$		.00
e. Other	\$		.00
f. Program Income	\$		.00
g. TOTAL	\$	30,000	.00

**16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?**

a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON:  
DATE \_\_\_\_\_

b. No.  PROGRAM IS NOT COVERED BY E. O. 12372  
 OR PROGRAM "AS NOT BEEN SELECTED BY STATE FOR REVIEW"

**17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?**  
 Yes If 'Yes,' attach an explanation.     No

**18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT "AS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.**

a. Type Name of Authorized Representative Paul Bohn	b. Title Interim Chair. Rsch Board	c. Telephone Number 217-333-2187
d. Signature of Authorized Representative <i>Paul W. Bohn</i>		e. Date Signed 3/7/02

**SEA GRANT BUDGET FORM 90-4**  
 For the Illinois-Indiana Sea Grant portion of the  
 Great Lakes Fisheries Leadership Institute

<b>GRANTEE: Illinois-Indiana Sea Grant</b>			<b>GRANT/PROJECT NO.:</b>	
<b>PRINCIPAL INVESTIGATOR: Dick Warner and Brian Miller</b>			<b>DURATION (months) : 9/1/02-8/31/03</b>	
			months	Yr.
<b>SALARIES AND WAGES:</b>			<b>man-months</b>	
	<b>No. of People</b>	<b>Amount of Effort</b>	<b>Sea Grant Funds</b>	<b>Matching Funds</b>
<b>1. Senior Personnel</b>				
a. (Co) Principal Investigator:	1	.8		6.272
b. Associates (Faculty or Staff):				
Sub Total:	1	.8		6.272
<b>2. Other Personnel</b>				
a. Professionals:				
b. Research Associates:				
c. Res. Asst./Grad. Students:				
d. Prof. School Students:				
e. Pre-Bachelor Student(s):				
f. Secretarial-Clerical:				
g. Technicians:				
h. Other:				
<b>Total Salaries and Wages:</b>	1	.8		6.272
<b>B. FRINGE BENEFITS:</b>				1.734
<b>Total Personnel (A and B):</b>				8.006
<b>C. PERMANENT EQUIPMENT:</b>				
<b>D. EXPENDABLE SUPPLIES AND EQUIPMENT:</b>			1.213	
<b>E. TRAVEL:</b>				
1. Domestic			14.800	
2. International				
<b>Total Travel:</b>			14.800	
<b>F. PUBLICATION AND DOCUMENTATION COSTS:</b>				
<b>G. OTHER COSTS:</b>				
1.				
2.				
Etc.				
<b>Total Other Costs:</b>				
<b>TOTAL DIRECT COST (A through G):</b>			<b>16.013</b>	<b>8.006</b>
<b>INDIRECT COST (On campus % of ):</b>				
<b>INDIRECT COST (Off campus 24.9 % of 16.013):</b>			<b>3.987</b>	<b>1.994</b>
<b>Total Indirect Cost:</b>				
<b>TOTAL COSTS:</b>			<b>20.000</b>	<b>10.000</b>

**BUDGET JUSTIFICATION**  
**For Illinois-Indiana Sea Grant Portion of the**  
**Great Lakes Network Regional Fisheries Extension Proposal**

<u>Staff Salaries</u>	<u>Sea Grant Person Months</u>	<u>Grantee Share Person Months</u>
\$4,077		.6

Fringe

Rates determined by University of Illinois based upon percentage of Salary.

Professional	21.65%
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Fringe rates include Worker's Compensation, Retirement Benefits, ~~Health~~ and Life Insurance, Dental Coverage and Termination Benefits.

Expendable Supplies and Equipment

Based on historical rates, covers the cost of materials necessary to plan, implement, and evaluate Sea Grant extension programs outlined in the proposal, including computer software, disks, paper, printer cartridges, letterhead, misc. consumable supplies, participant training materials, film, videotapes, etc.

Travel

Covers all local, regional, and national travel costs to administer and develop module outlined in the proposal. Includes 2 overnight ~~trips~~ to a location in the Great lakes Region to work with participating Sea Grant staff at \$350 each and one trip to work ~~with~~ graphic artist and communications staff at \$100, ~~All~~ travel estimates are based on standard university mileage and per diem rates.

Publication Costs

Covers costs of publications and hand out materials necessary to complete objectives outlined in proposal based on historical rates.

Other Costs

A consultant/graphic artist will be hired to do design and layout work for the extension publications and education modules. The amount of \$4,000 is based on the historic rate of \$350 per day for 11.4 days.



THE UNIVERSITY OF MICHIGAN  
DIVISION OF RESEARCH DEVELOPMENT AND ADMINISTRATION

3003 South State Street  
Ann Arbor, MI 48109-1274

April 12, 2002

Dear National Sea Grant Office,

**The enclosed University of Michigan Proposal No. DRDA 02-3357,  
submitted on behalf of George Carignan has been approved  
on behalf of the REGENTS OF THE UNIVERSITY OF MICHIGAN by the  
appropriate University official.**

**Please direct all administrative questions, and copies of all  
correspondence, to:**

**Sharyn I. Sivyer, Project Representative  
UNIVERSITY OF MICHIGAN  
Division of Research Development & Administration  
3003 South State Street, Room 1082  
Ann Arbor, MI 48109-1274**

**Phone: 734 936 1296  
Fax: 734 763 4053  
Email: [ssivyer@umich.edu](mailto:ssivyer@umich.edu)**

**We look forward to working with you.**

**Sincerely,**

  
**for Sharyn I. Sivyer  
Project Representative**

**APPLICATION FOR FEDERAL ASSISTANCE**

<b>1. TYPE OF SUBMISSION</b> <i>Application</i> <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction		<b>2. DATE SUBMITTED</b> 04/10/02	Applicant Identifier DRDA 02-3357
<i>Preapplication</i> <input checked="" type="checkbox"/> construction <input type="checkbox"/> Non-Construction		<b>3. DATE RECEIVED BY STATE</b>	State Applicant Identifier
		<b>4. DATE RECEIVED BY FEDERAL AGENCY</b>	Federal Identifier

**6. APPLICANT INFORMATION**

<b>Legal Name:</b> Regents of the University of Michigan	<b>Organizational Unit:</b> Michigan Sea Grant College Program
<b>Address (give city, county, state, and zip code):</b> 1066 Wolverine Tower 3003 South state street Ann Arbor, MI 461091247	<b>Name and telephone number of person to be contacted on matters involving this application (give area code):</b> Budgetary Elyse Larsen 2200 Bonisteel Blvd. Ann Arbor, MI 461042099 (734) 763-1436 Contractual: Sharyn I. Sivyer, Rm. #1082, 3003 South State Street University of Michigan Ann Arbor, MI 48109-1273 (734) 936-1296

**5. EMPLOYER IDENTIFICATION NUMBER (EIN):**

3	8	-	1	6	0	0	1	6	3	0	9
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**3. TYPE OF APPLICATION**

New     Continuation     Revision

If Revision, enter appropriate letter(s) in box(es):       

A. Increase Award    6. Decrease Award    c. Increase Duration  
O. Decrease Duration    Other (specify):

**7. TYPE OF APPLICANT: (enter appropriate letter in box)**  I

- A. State
- B. County
- C. Municipal
- D. Township
- E. Interstate
- F. Intermunicipal
- G. Special District
- H. Independent School Dist.
- I. State Controlled Institution of Higher Learning
- J. Private University
- K. Indian Tribe
- L. Individual
- M. Profit Organization
- N. Other (Specify)

**9. NAME OF FEDERAL AGENCY:**

National Sea Grant College Program  
National Oceanic & Atmospheric Administration  
Department of Commerce

**10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:**

1	1	.	4	1	7
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TITLE: Sea Grant Support

**11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:**

Michigan Sea Grant College Program-Fisheries Extension Enhancement: Great Lakes Fisheries Leadership Institute Omnibus Award -NA16RG1145

**2. AREAS AFFECTED BY PROJECT (cities, counties, states, etc.):**

IS. Minnesota, New York, Michigan, Ohio, Pennsylvania, Illinois, Wisconsin, Indiana

**3. PROPOSED PROJECT:**

**14. CONGRESSIONAL DISTRICTS OF:**

<b>Start Date</b> 09/01/02	<b>Ending Date</b> 08/31/03	<b>a. Applicant:</b> Thirteen	<b>b. Project:</b> Multi-State
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**5. ESTIMATED FUNDING:**

Federal	\$	50,000.00
Applicant		.00
State		.00
Local	\$	.00
Other	\$	25,663.M)
Program Income	\$	.00
<b>TOTAL</b>	\$	75,663.w

**13. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?**

- a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON:  
DATE \_\_\_\_\_
- b. NO.  PROGRAM IS NOT COVERED BY E.O. 12372  
 OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW

**17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?**

- Yes    If "Yes," attach an explanation.     No

TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

<b>Typed Name of Authorized Representative</b> James R. Randolph	<b>b. Title</b> Sr Assoc Director, Division of Research Development & Administration	<b>c. Telephone number</b> 734-647-3263
<b>Signature of Authorized Representative</b> 	<b>e. Date Signed</b> 4/12/02.	

National Oceanic and Atmospheric Administration (NOAA)

Form 90-4 (Adapted)

Project Status: 1(New)

Project Number:

Grantee: Michigan Sea Grant College Program

Project Title: Fisheries Extension, Leadership Institute

Project Period: 09/01/02 - 08/31/03

Principal Investigator: John Schwartz

Institution: Michigan State University

	No. of Personnel	Months of Effort	Sea Grant Funds	Matching Funds
<b>A. Salaries &amp; Wages</b>				
1) Senior Personnel				
a. (Co) Principal Investigator(s)	1	1.2		7,500
b. Associate (Faculty or Staff)				
2) Other Personnel				
a. Communication Coordinator -				
b. Editor -				
c. Web Design -				
d. Grad Student	1	6.0	14,400	
e. Pre-Bac Students				
f. Secretarial-Clerical				
g. Technical-Shop				
h. Misc./Consultants				
Total Salaries & Wages (A1a-A2h)			14,400	7,500
<b>B. Fringe Benefits</b>				
1) @ 34% of \$7,500			-	2,550
2) @ 37.9% of \$14,400			5,458	
Total Fringe Benefits			5,458	2,550
<b>Total Salaries &amp; Fringe Benefits</b>			19,858	10,050
<b>C. Permanent Equipment</b>				
<b>D. Expendable Supplies</b>				
			4,142	
<b>E. Travel</b>				
1) Domestic U.S. (Inc. Puerto Rico)			2,000	
2) Foreign				
Total Travel			2,000	-
<b>F. Publication &amp; Documentation Costs</b>				
			1,000	
<b>G. Other Costs</b>				
1) Telephone, Postage, Copies			1,000	
2) Workshop Expenses			22,000	
3)				
4)				
5)				
6)				
Total Other Costs			23,000	-
<b>Total Direct Costs</b>			50,000	10,050
<b>H. Indirect Costs</b>				
1) 26% of 50,000/10,050			*	2,613
2) Waived indirect cost applied to match				13,000
<b>Total Indirect Costs</b>			-	15,613
<b>Total Cost</b>			50,000	25,663

Sea Grant Budget  
9/1/02-8/31/03

Grantee  
Michigan Sea Grant Extension, Fisheries Leadership

Project Number  
A/FP-1

Principal Investigator  
John D. Schwark, MSU

Duration (Months)  
12 Months

A. Salaries & Wages

1. Sr. Personnel

	Man-Months	Sea Grant	Grantee
a. Project Leader	1.2		\$7,500 ✓
b. Associates			

2. Other Personnel

a. Professionals			
b. Communications <b>Associate</b>			
c. Graduate Students	6	\$14,400 ✓	
d. Secretarial -Clerical			

<b>Total Salaries &amp; Wages</b>		\$14,400 ✓	\$7,500 ✓
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B. Fringe Benefits

@34%		\$0	\$2,550 ✓
Grad. Students @37.9%		\$5,458 ✓	

<b>Total A &amp; B</b>		\$19,858 ✓	\$10,050 ✓
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C. Permanent Equipment

D. Expendable Equipment		\$4,142 ✓	
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E. Travel - Domestic		\$24,000 ✓	
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F. Publications & Documentation Costs		\$1,000 ✓	
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G. Other Costs

1. Telephone & Postage, Copies		\$1,000 ✓	
<del>2. Contract Serv.</del>			

<b>Total Other Costs</b>		\$1,000 ✓	\$0
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<b>Total Direct Costs</b>		\$50,000 ✓	\$10,050 ✓
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Indirect Costs @ 26%		*	\$2,613 ✓
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<b>Total Indirect Costs</b>		\$0	\$15,613 ✓
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<b>Total Costs</b>		\$50,000 ✓	\$25,663 ✓
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• Waived indirect cost applied to match.      \$13,000 ✓

## Sea Grant Project Summary

Title: Michigan Sea Grant Extension, Fisheries Leadership

Project Number:	<b>A/FP-1</b>	Revision Date:
Grant Number:	Proposal	Initiation Date: <b>9/1/02</b>
Project Status:	Continuing	Completion Date: <b>8/31/03</b>
Principal Investigator:	John D. Schwartz	Months of Effort: 12
Affiliation:	MSU Extension Michigan State University	

Proposed Fed Funds:	<b>\$50,000.</b>	Proposed Match Funds:	<b>\$25,663</b>
Current Fed Funds:		Current Match Funds:	
Fed Funds to Date:		Match Funds to Date:	

Related Projects: **All**

Sea Grant Classification: 152 – Marine Advisory Service – Special Projects

Keywords: Extension, marine, Great Lakes, Fisheries Leadership

Objectives:

Develop stakeholders from each Great Lakes state as Great Lakes Fishery Leaders - a cohort of leaders with the knowledge and skills necessary to provide effective leadership on fisheries management issues,

1. Create a network of Great Lakes leaders from the broadest possible variety of backgrounds and organizations who are able to call upon each other to effectively address and/or advocate regional needs
2. Empower these fishery leaders to influence policy decisions and legislative initiatives
3. Maximize the effective life of citizen volunteers by condensing the learning curve,
4. Minimize frustration of both Great Lakes fisheries management agencies and those citizen leaders and who do not understand the complexities of Great Lakes fishery-related institutional arrangements, history and science.
5. Expose leadership from one end of the Great Lakes region to the issues and concerns of the other parts of the region.
6. Multiply the ability of Great Lakes Sea Grant Extension to reach constituent audiences using a 'teach-the-teacher' model.

Methodology:

1. News reports, newsletters, television programs, instructional video, demonstrations, workshops, conferences, publications.
2. Demonstrations, site visits, surveys, computer analyses, publications, technical consultations.
3. Conferences, cooperative programs and projects, publications.
4. Surveys, meetings.

**Rationale:**

A significant challenge **in** achieving a sustainable Great Lakes ecosystem and fisheries is the fact that resource users and decision makers do not have enough information to focus on the larger impact of their decisions. A key element in meeting this challenge is an educated, motivated and participating citizenry. Leadership and visionary action by our **fisheries** stakeholders is critical in **defining** the future of our Great Lakes fishery resources.

22 March 2002

TO: The Office of Sea Grant  
NOAA, U.S. Department of Commerce

TITLE OF PROGRAM: Ohio Sea Grant College Program  
Supplemental Funding Request for  
Regional Fisheries Extension

AMOUNTS REQUESTED: \$10,000

MATCHING FUNDS: \$5,000

PREVIOUS GRANT AMOUNT: \$1,059,200

DESIRED GRANT PERIOD: 7/1/2002 -- 6/30/2003

GRANT:

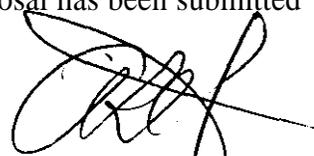
UNIVERSITY OFFICIAL TO BE CONTACTED REGARDING GRANT NEGOTIATION:

Anthony R. Howard  
The Ohio State University  
Research Foundation  
590 W. Hayes Drive  
112 Ag. Engineering  
Columbus, OH 43210  
614/292-3730

We, the undersigned, certify that in the event this proposal is accepted, in whole or in part, our signatures on this proposal constitute acceptance of and compliance with statutes and regulations of the U.S. government and the U.S. Department of Commerce. This proposal has been submitted to no other agency.

  
PRINCIPAL INVESTIGATOR

Jeffrey M. Reutter, Director  
Ohio Sea Grant College Program  
The Ohio State University  
1314 Kinnear Road  
Columbus, OH 43212-I 194  
614/292-8949

  
OFFICIAL AUTHORIZED TO GIVE  
UNIVERSITY APPROVAL

Anthony R. Howard  
Ohio State Univ. Research Foundation  
1960 Kenny Road  
Columbus, OH 43210  
614/292-3730

## OHIO SEA GRANT BUDGET FORM 90-4

<b>GRANTEE: The Ohio State University</b>			<b>GRANT/PROJECT NO.:</b>	
<b>PRINCIPAL INVESTIGATOR: Frank Lichtkoppler and Jeffrey M. Reutter</b>			<b>DURATION (months) :</b>	
			<b>12 months</b>	<b>Yr.</b>
<b>SALARIES AND WAGES:</b>			<b>Sea Grant Funds</b>	<b>Matching Funds</b>
	<b>man-months</b>			
	<b>No. of People</b>	<b>Amount of Effort</b>		
<b>1 Senior Personnel</b>				
a. (Co) Principal Investigator:	1	1.7		\$3.139
b. Associates (Faculty or Staff):	1	1.0		
Sub Total:	2	1.7		\$ 3.139
<b>2. Other Personnel</b>				
a. Professionals:				
b. Research Associates:				
c. Res. Asst./Grad. Students:				
d. Prof. School Students:				
e. Pre-Bachelor Student(s):				
f. Secretarial-Clerical:				
g. Technicians:				
h. Other:				
<b>Total Salaries and Wages:</b>				
<b>B. FRINGE BENEFITS:</b>				\$829
<b>Total Personnel (A and B):</b>				\$3.968
<b>C. PERMANENT EQUIPMENT:</b>				
<b>D. EXPENDABLE SUPPLIES AND EQUIPMENT:</b>				
			\$217	
<b>E. TRAVEL:</b>				
1. Domestic (support travel for participants & speakers)			\$2.500	
2. International				
<b>Total Travel:</b>				
<b>F. PUBLICATION AND DOCUMENTATION COSTS:</b>			\$ 220	
<b>G. OTHER COSTS:</b>				
1. Conference Implementation (support for participants & speakers			\$5.000	
2. meals, lodging and educational training – boat time- lab				
3. fees, etc.)				
Etc.				
<b>Total Other Costs:</b>			\$5.000	
<b>TOTAL DIRECT COST (A through G):</b>			\$7.937	\$3.968
<b>INDIRECT COST (On campus % of f):</b>				
<b>INDIRECT COST (Off campus 26 % of \$7,937/\$3,968):</b>			\$2.063	\$1.032
<b>Total Indirect Cost:</b>			\$2.063	\$1.032
<b>TOTAL COSTS:</b>			\$10.000	\$5.000

**APPLICATION FOR  
FEDERAL ASSISTANCE**

2. DATE SUBMITTED 1 April 2002	Applicant Identifier
3. DATE RECEIVED BY STATE	State Applicant Identifier
4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier

1. TYPE OF SUBMISSION:	
Application	Preapplication
<input type="checkbox"/> Construction	<input type="checkbox"/> Construction
<input checked="" type="checkbox"/> Non-Construction	<input type="checkbox"/> Non-Construction

5. APPLICANT INFORMATION

Legal Name: **The Pennsylvania State University**

Address (give city, county, state, and zip code):  
Office of Sponsored Programs  
110 Technology Center Building  
University Park, PA 16802

Organizational Unit: **Penn State Erie**

Name and telephone number of person to be contacted on matters involving this application (give area code)

Technical:	Eric Obert	814-898-8453
Budgetary:	Bob Light	814-898-6160
Contractual:	Rob Baker	814-863-0715

6. EMPLOYER IDENTIFICATION NUMBER (EIN):

2	4	-	6	0	0	0	3	7	6
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7. TYPE OF APPLICANT: (enter appropriate letter in box) N

A. State	H. Independent School Dist.
B. County	I. State Controlled Institution of Higher Learning
C. Municipal	J. Private University
D. Township	K. Indian Tribe
E. Interstate	L. Individual
F. Intermunicipal	M. Profit Organization
G. Special District	N. Other (Specify) <u>State-related higher education</u>

8. TYPE OF APPLICATION:

New     Continuation     Revision

If Revision, enter appropriate letter(s) in box(es):

<input type="checkbox"/> A. Increase Award	<input type="checkbox"/> B. Decrease Award	<input type="checkbox"/> C. Increase Duration
<input type="checkbox"/> D. Decrease Duration	Other (specify):	

9. NAME OF FEDERAL AGENCY:

NOAA

10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:

1	1	.	4	1	7
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TITLE: **Sea Grant Support**

11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:

Fisheries Extension Enhancement: Great Lakes  
Fisheries Leadership institute

12. AREAS AFFECTED BY PROJECT (cities, counties, states, etc.):

Erie County Pennsylvania

13. PROPOSED PROJECT:

Start Date	Ending Date
1 March 2002	28 February 2003

14. CONGRESSIONAL DISTRICTS OF:

a. Applicant	b. Project
5th	21st

15. ESTIMATED FUNDING:

a. Federal	10,000
b. Applicant	5,000
c. State	
d. Local	
e. Other	
f. Program Income	
g. TOTAL	15,000

16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?

a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON:

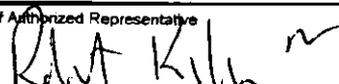
DATE \_\_\_\_\_

b. NO.  PROGRAM IS NOT COVERED BY E.O. 12372  
 OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW

17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?

Yes    If "Yes," attach an explanation.     No

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

a. Typed Name of Authorized Representative <b>Robert Killoren</b>	b. Title <b>Assistant VP for Research</b>	c. Telephone number <b>814-865-1372</b>
c. Signature of Authorized Representative 		e. Date Signed <b>15 March</b>

**SEA GRANT PROJECT SUMMARY (FORM)**

Sea Grant Budget for the Period March 1, 2002 – February 28, 2003 (Form 90-4) Fisheries Extension Enhancement: Great Lakes Fisheries Leadership Institute

**SEA GRANT BUDGET FORM 90-4**

GRANTEE: Penn state			GRANT/PROJECT NO.:	
PRINCIPAL INVESTIGATOR: Eric Obert			DURATION (months) : 12 Period 03/1/02-02/28/03 months Yr.	
SALARIES AND WAGES:			Sea Grant	Matching
	man-months		Funds	Funds
	No. of	Amount		
	People	of		
1. Senior Personnel				
a. (Co) Principal Investigator:	1	4%		\$2,648
b. Associates (Faculty or Staff):	1	1%		\$456
	1	1%		\$336
2. Other Personnel				
a. Professionals:				
b. Research Associates:				
c. Res. Asst./Grad. Students:				
d. Prof. School Students:				
e. Pre-Bachelor Student(s):				
f. Secretarial-Clerical:				
g. Technicians:				
h. Other:				
Total Salaries and Wages:				\$3,440
<b>B. FRINGE BENEFITS:</b>				\$870
Total Personnel (A and B):				
<b>C. PERMANENT EQUIPMENT:</b>				
<b>D. EXPENDABLE SUPPLIES AND EQUIPMENT:</b>			\$500	
<b>E. TRAVEL:</b>				
1. Domestic			\$2,300	
2. International				
Total Travel:				
<b>F. PUBLICATION AND DOCUMENTATION COSTS:</b>			\$500	
<b>G. OTHER COSTS:</b>				
1. Conference Implementation (speakers&attendees)			\$5,321	
2. meals, lodging, and educational training				
Total Other Costs:			\$5,321	
<b>TOTAL DIRECT COST (A through G):</b>			\$8,621	\$4,310
<b>INDIRECT COST (On campus % of ):</b>				
<b>INDIRECT COST (Off campus 16% of \$8621 and \$4,310 ):</b>			\$1,379	\$690
Total Indirect Cost:				
<b>TOTAL COSTS:</b>			\$10,000	\$5,000

## SEA GRANT PROJECT SUMMARY FORM

- (1) **INSTITUTION:** Pennsylvania Sea Grant (1a) **ICODE:**  
(2) **TITLE:** Fisheries Extension Enhancement: Great Lakes Fisheries Institute  
(3) **PROJECT NUMBER:** (4) **REVISION DATE:**  
(5) **PROJECT STATUS:** (6) **INITIATION DATE:** 3/1/02  
(7) **COMPLETION DATE:** 2/28/03 (8) **SUB PROGRAM:** Fisheries Extension  
(9) **PRINCIPAL INVESTIGATOR:** Eric. **Obert** [eco1@psu.edu](mailto:eco1@psu.edu) (9a) **EFFORT:** 0.48 months  
(9b) **AFFILIATION:** Pennsylvania Sea Grant  
(9c) **AFFILIATION CODE:**
- (13) **S.G. FUNDS:** \$10,000 (14) **STATE MATCHING FUNDS:** \$5,000  
(15) **LAST YEAR'S SG FUNDS:** (16) **LAST YEAR'S MATCHING FUNDS:**  
(17) **PASS-THROUGH FUNDS:** (18) **LAST YEAR'S PASS-THROUGH FUNDS:**  
(19) **RELATED PROJECTS:**  
(20) **PARENT PROJECTS:**  
(21) **SEA GRANT STRATEGIC PLAN CLASSIFICATION:**  
(22) **OBJECTIVES:**
1. Develop **stakeholders from** each Great **Lakes'** state as Great Lakes' Fishery Leaders - a cohort of leaders with the knowledge and skills necessary to provide effective leadership on fisheries management issues.
  2. Create a network of Great Lakes leaders **from** a variety of backgrounds and organizations who are able to **call** upon each other to effectively address **and/or** advocate regional needs.
  3. Empower these fishery leaders to influence policy decisions and legislative initiatives.
  4. Maximize the effectiveness of citizen volunteers by condensing the learning **curve**.
  5. Minimize **frustration of both** Great **Lakes'** fisheries management agencies and those citizen leaders and who do not understand **the** complexities of Great Lakes fishery-related institutional arrangements, history **and** science.
  6. Expose leadership **from** one end of the Great Lakes region to the issues and concerns of the other parts of the region.
  7. Multiply the ability of Great **Lakes** Sea Grant Extension to reach constituent audiences using a 'teach-the-teacher' model.
- (23) **METHODOLOGY:**
1. Pennsylvania Sea Grant will invite 25-30 **stakeholders** from various organizations with an interest in fisheries to a weekend workshop at Erie, Pennsylvania.
  2. A steering committee will select seven individuals from this group to attend a regional Lake Erie workshop.
  3. State and national experts from fishery, health and environmental agencies will present information at these workshops on issues related fisheries management, ecosystem **health** sustainable fisheries and governance of the Great Lakes.
  4. Meetings will incorporate the **curriculum** developed in the regional Great Lakes Sea Grant proposal for use at their workshop.
- (24) **RATIONALE:** The concept behind **the** Great Lakes Fishery Leadership **Institute** is to provide in-depth training to the 'next generation' of leaders - those who are new leaders to their fisheries related organizations, those likely to become leaders of their organizations within the next few years, and **those** likely to be serving as advisors to Great Lakes fishery-related institutions (e.g., Lake Committees). Selection of appropriate participants is an important key to the success of the Institute program. Participants will be nominated and selected by a steering committee, which will be composed of partner agencies (e.g., Great Lakes Fishery Commission, U.S. Fish and Wildlife Service) and current Great Lakes fishery leadership. Participants will be selected to represent a **variety** of organization 'types' - environmental **NGOs**, commercial fishermen, charter captains, tribes, **sportfishing** associations, visitor

bureaus, science writers, legislators, legislative aides, etc. This mix of **participants will help** participants in **the Institute** to deepen their **understanding of the** multiple perspectives and stakeholders involved in any Great Lakes **fishery** management decision. Following the National Extension Leadership Development (**NELD**) and Master Gardener models, nominees will be required to make a specific commitment to **the** Great Lakes fishery as part of their application for participation in the Great Lakes Fishery Leadership Institute.

**A Proposal to  
The National Sea Grant Office, R/SG  
Attn: Geraldine Taylor, Proposals Processing  
NOAA  
1315 East-West Highway  
Silver Springs, MD 20910**

**Project Title:** Fisheries Extension Enhancement: Great Lakes Fisheries Leadership Institute

**Duration:** 9/1/02-8/31/03

**Federal Funds Requested:**  
200 1: \$20,000

**Matching Funds Proposed:**  
2001: \$10,000

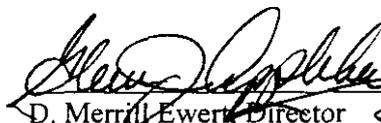
**Principal Investigator:** Dale Baker, Program Leader  
New York Sea Grant  
340 Roberts Hall  
Cornell University  
Ithaca, NY 14853-4203  
Telephone: (607) 255-2832

David G. White  
New York Sea Grant  
SUNY at Oswego  
Oswego, NY 13 126-3599  
Telephone: (3 15) 3 12-3042



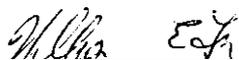
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Dale R. Baker, Program Leader  
New York Sea Grant  
340 Roberts Hall, Cornell University  
Ithaca, NY 14853



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D. Merrill Ewert, Director  
Cornell Cooperative Extension  
276 Roberts Hall, Cornell University  
Ithaca, NY 14853



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William Fry, Sr. Associate Dean  
College of Agriculture and Life Science  
Cornell University  
Ithaca, NY 14853



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John Desch, Sr. Grants and Contracts Officer  
Office of Sponsored Programs  
Day Hall, Cornell University  
Ithaca, NY 14853

<b>GRANTEE:</b> Cornell University	<b>PROJECT NUMBER:</b>
<b>PROJECT TITLE:</b> Fisheries Extension Enhancement: Great Lakes Fisheries Leadership Institute	<b>PROJECT STATUS:</b>
<b>PRINCIPAL INVESTIGATOR(S):</b> Dale Baker	<b>DURATION:</b> 12 Months

**A. SALARY & WAGES**

	NO. OF PERSONNEL	MONTHS	SEA GRANT FUNDS	GRANTEE SHARE
<b>1. SENIOR PERSONNEL</b>				
a. (Co) Principal Investigator(s):	1			2,510
b. Associates (Faculty or Staff):	1		4,398	
Sub Total:	2	0	4,398	2,510
<b>2. OTHER PERSONNEL</b>				
a. Professional(s):				
b. Research Associate(s):				
c. Research Asst. Grad. Student(s):				
d. Prof. School Student(s):				
e. Pre-Bac. Student(s):				
f. Secretarial-Clerical:				
g. Technical-shop:				
h. Misc.:				
Total Salary:			4,396	2,510
<b>3. FRINGE BENEFITS: 33.55% of \$4,396 Match @ 37.05% of \$2,510</b>			1,476	930
I. Total Salary and Fringe Benefits:			5,674	3,440
<b>3. PERMANENT EQUIPMENT:</b>				
<b>D. EXPENDABLE SUPPLIES AND EQUIPMENT:</b>			1,500	
<b>E. TRAVEL</b>				
1. Domestic U.S. (Inc. Puerto Rico) I. \$1,000				
2. International 2				
3. Total Travel:			1,000	
<b>F. PUBLICATIONS AND DOCUMENTATION COSTS:</b>				
<b>G. OTHER COSTS</b>				
1. Computer Costs:				
2. Equipment Rental:				
3. Stipend/Tuition Waiver/Sea Grant Scholars:				
4. Maintenance:				
5. Technical Services:				
6. Support Services:				
7. Consultant:				
8. Other: Workshop Participant Expenses			7,500	3,500
9. Subcontract:				
0.				
Total Other Costs:			7,500	3,500
<b>O T A L D I R E C T C O S T S :</b>			<b>15,874</b>	<b>6,940</b>
<b>INDIRECT COSTS</b>				
On Campus: Match @ 62.5% of \$3,440			0	2,150
Off Campus: Sea Grant @ 26% of \$15,674 Match @ 26% of \$3,500			4,126	910
<b>TOTAL CORNELL INDIRECT COSTS</b>			<b>4,126</b>	<b>3,060</b>
<b>TOTAL CORNELL COSTS</b>			<b>20,000</b>	<b>10,000</b>

## **Budget Justification**

**Salary:** Funds are requested for 1.2 of a month for the New York Sea Grant Great Lakes Fisheries Specialist in the amount of \$4,398.

**Fringe Benefits:** Calculated at the federally negotiated rate of 33.55% of \$4,398, fringe benefits amount to \$1,476.

**Supplies:** \$1,500 is requested for the purchase of general office materials and software needed to carry the project forward (paper, ink jet cartridges, pens, staples, paper clips, etc.).

**Travel:** \$1,000 is requested to cover the cost for an Extension Educators meals and lodging, fleet car expenses and other transportation costs for state, lake, and regional level training sessions, as well as planning meetings.

**Other:** \$7,500 is requested to partially cover the actual meals, lodging, and transportation expenses for workshop participants.

**Indirect Costs:** Calculated at the federally negotiated off-campus rate of 26% of the direct costs of \$15,874, indirect costs amount to \$4,12.

## **Match Justification**

**Salaries:** Salary of .4 of a month for the Program Leader in the amount of \$2,510.

**Fringe Benefits:** Calculated at the non-federal rate of 37.05% of \$2,510, fringe benefits amount to \$930.

**Other:** \$3,500 for additional workshop participant travel expenses not covered by grant dollars.

**Indirect Costs:** The federally negotiated on-campus rate of 62.5% is applied to the total of salary and fringe benefits for the Program Leader of \$3,440, resulting in \$2150. **IDC** on the participant expenses of \$3,500 are calculated at the federally negotiated rate of 26%, which totals \$910.

## Project Record Form

**Institution:** New York Sea Grant

**Title:** Fisheries Extension Enhancement: Great Lakes Fisheries Leadership Institute

**Initiation Date:** 911102

**Completion Date:** 8/31/03

**Principal Investigator:** Dale R. Baker

**Affiliation:** New York Sea Grant

**Effort (months):** Year 1: .2

Year 2:

**Co-Principal Investigator:** David G. White

**Affiliation:** New York Sea Grant

**Effort (months):** Year 1: 0

Year 2:

**Proposed Sea Grant Funds:**

Year 1: \$20,000

**Proposed Match Funds:**

Year 1: \$10,000

**Keywords:** Great Lakes Fisheries, leadership development

### Objectives:

- 1). Develop stakeholders from each Great Lakes state as Great Lakes Fishery Leaders - a cohort of leaders with the knowledge and skills necessary to provide effective leadership on fisheries management issues,
- 2). Create a network of Great Lakes leaders from the broadest possible variety of backgrounds and organizations who are able to call upon each other to effectively address and/or advocate regional needs.
- 3). Empower these fishery leaders to influence policy decisions and legislative initiatives  
Maximize the effective life of citizen volunteers by condensing the learning curve,
- 4). Maximize the effective life of citizen volunteers by condensing the learning curve.
- 5). Minimize frustration of both Great Lakes fisheries management agencies and those citizen leaders and who do not understand the complexities of Great Lakes fishery-related institutional arrangements, history and science.
- 6). Expose leadership from one end of the Great Lakes region to the issues and concerns of the other parts of the region.
- 7). Multiply the ability of Great Lakes Sea Grant Extension to reach constituent audiences using a 'teach-the-teacher' model.

### Methods:

A steering committee comprised of representatives of Great Lakes fisheries management/research agencies and current Great Lakes fisheries leaders will nominate and select a cadre of emerging Great Lakes fishery leaders to receive intensive training designed to enhance the knowledge and skills necessary to make them more effective leaders and advisors on issues relating to the Great Lakes fishery. Training will occur at the state, lake and regional level using a common curriculum and drawing on partner agency experts to serve as instructors.

The Great Lakes Fishery Leadership Institute as proposed here is intended as a pilot program. We are highly confident in its success and anticipate that training of additional cohorts and/or advanced training variations will be possible when Great Lakes partner agencies and institutions see the value of this training and provide resources for its continuation or should the appropriations for an expanded Sea Grant fisheries extension program increase.

### Rationale:

Discussion with Great Lakes partner agencies including the Great Lakes Fishery Commission, U.S. Fish and Wildlife Service, USGS/BRD Great Lakes Science Center and NOAA/Great Lakes Environmental Research Laboratory revealed a high priority placed on the regional need for in-depth training to the next generation of leaders - i.e., those who are new leaders to their fisheries related organizations, those likely to become leaders of their organizations within the next few years, and those likely to be serving as advisors to Great Lakes fishery related institutions such as Lake Committees. The proposed Great Lakes Fisheries Leadership Institute is designed to address this need.

The immediate outcome of this pilot Great Lakes Fishery Leadership Institute will be a cohort of more than sixty committed Great Lakes fishery leaders with the skills and knowledge base necessary to effectively engage Great Lakes fishery management agencies on the issues and capable of providing sound advice. Anticipated near-term impact of this cohort will be immediately quantifiable through the specific commitments required of all Institute participants. This initial cohort will provide the foundation for a long-term, sustained fisheries extension effort as, through a teach-the-teacher model, participants raise the level of constituent understanding, involvement and action in their home organizations and local communities. Contact of the Great Lakes Fishery Institute participants with key staff from our partner agencies and Great Lakes fishery managers during the course of the Institute and beyond will greatly enhance the outreach capacity of these agencies -providing them with an immediate contact. credible to his or her peers, in a wide variety of stakeholder groups. We anticipate that many Institute participants will serve on Great Lakes fishery-related advisory bodies, such as the Great Lakes Fishery Commission Lake Committees. The infusion of trained leaders with the knowledge and skills necessary to make informed recommendations will result in improved effectiveness of these important Great Lakes advisory groups.



*The*  
**UNIVERSITY**  
*of* **VERMONT**

OFFICE OF SPONSORED PROGRAMS

April 9, 2002

Rochelle Sturtevant  
Sea Grant Extension Agent  
Great Lakes Environmental Research Lab  
2205 Commonwealth Blvd.  
Ann Arbor, MI 48105

Dear Ms Sturtevant:

I am most pleased to endorse, on behalf of the University of Vermont and State Agricultural College, the enclosed proposal submitted by Dr. Jurij Homziak of the Lake Champlain Sea Grant Program entitled "Great Lakes Fisheries Leadership Institute: Lake Champlain Component."

The application has been prepared according to standard University policies and procedures and has undergone review by the relevant academic and administrative offices. The University is willing to administer the grant should an award be made. Feel free to contact Sarah Cooley, Sponsored Programs Administrator, with any questions of an administrative nature concerning this application. She can be reached at (802) 656-3360 or at [scooley@zoo.uvm.edu](mailto:scooley@zoo.uvm.edu).

Thank you for your consideration of this very worthwhile project.

Sincerely,

Ruth Farrell  
Director, Office of Sponsored Programs

enc.

## Project Record Form

**Institution:** New York Sea Grant Institute

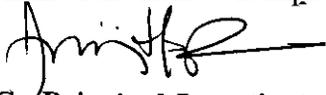
**Title:** Great Lakes Fisheries Leadership Institute: Lake Champlain Component

**Initiation Date:** 9/1/02

**Completion Date:** 8/1/03

**Principal Investigator:** Jurij Homziak

**Affiliation:** Lake Champlain Sea Grant



**Effort (months): Year 1: 0.0 mo.**

**Co-Principal Investigator:** Mark Malchoff

**Affiliation:** Lake Champlain Sea Grant

**Effort (months): Year 1: 0.2 mo.**

**Proposed Sea Grant Funds:**

**Year 1: \$10,000**

**Proposed Match Funds:**

**Year 1: \$**

**Keywords:** Fisheries, public participation, leadership development

**Objectives:** There is a regional need to provide in-depth training to the next generation of local leaders, those who are now leaders to their fisheries related organizations, those likely to become leaders of their organizations within the next few years, and those likely to be serving as advisors to Great Lakes/Lake Champlain fishery related institutions, such as Lake and Technical Advisory Committees. The proposed Great Lakes Fisheries Leadership Institute is designed to address this need.

For this proposal, the term Great Lakes is intended to mean Great lakes and Lake Champlain. Specific objectives are:

- 1). Develop stakeholders from each Great Lakes state as Great Lakes Fishery Leaders -a cohort of leaders with the knowledge and skills necessary to provide effective citizen leadership on fisheries management issues,
- 2). Create a network of Great Lakes leaders **from** the broadest possible variety of backgrounds and organizations who are able to call upon each other to effectively address and/or advocate regional needs.
- 3). Empower these fishery leaders to influence policy decisions and legislative initiatives.
- 4). Maximize the effective life of citizen volunteers by condensing the learning curve.

- 5). Minimize frustration of both Great Lakes fisheries management agencies and those citizen leaders and who do not understand the complexities of Great Lakes fishery-related institutional arrangements, history and science.
- 6). Expose leadership from one end of the Great Lakes region to the issues and concerns of the other parts of the region.
- 7). Multiply the ability of Great Lakes Sea Grant Extension to reach constituent audiences using a 'teach-the-teacher' model.

**Methods:** A steering committee comprised of representatives of Great Lakes fisheries management/research agencies and current Great Lakes fisheries leaders will nominate and select a cadre of emerging Great Lakes Fishery leaders to receive intensive training designed to enhance the knowledge and skills necessary to make them more effective leaders and advisors on issues relating to the Great Lakes fishery. Training will occur at the state, lake and regional level using a common curriculum and drawing on partner agency experts to serve as instructors.

The Great Lakes Fishery Leadership Institute as proposed here is intended as a pilot program. We are highly confident in its success and anticipate that training of additional cohorts and/or advanced training variations will be possible when Great Lakes partner agencies and institutions see the value of this training and provide resources for its continuation 01 should the appropriations for an expanded Sea Grant fisheries extension program increase.

**Rationale:** Discussion with Great Lakes partner agencies including the Great Lakes Fishery Commission, U.S. Fish and Wildlife Service, USGS/BRD Great Lakes Science Center and NOAA/Great Lakes Environmental Research Laboratory revealed a high priority placed on the

The immediate outcome of this pilot Great Lakes Fishery Leadership Institute will be a cohort of more than sixty committed Great Lakes fishery leaders with the skills and knowledge base necessary to effectively engage Great Lakes fishery management agencies on the issues and capable of providing sound advice. Anticipated near-term impact of this cohort will be immediately quantifiable through the specific commitments required of all Institute participants. This initial cohort will provide the foundation for a long-term, sustained fisheries extension effort as, through a teach-the-teacher model, participants raise the level of constituent understanding, involvement and action in their home organizations and local communities. Contact of the Great Lakes Fishery Institute participants with key staff from our partner agencies and Great Lakes fishery managers during the course of the Institute and beyond will greatly enhance the outreach capacity of these agencies -providing thorn with an immediate contact) credible to his or her peers, in a wide variety of stakeholder groups. We anticipate that many Institute-participants will serve on Great Lakes fishery-related advisory bodies, such as the Great Lakes Fishery Commission Lake Committees. The infusion of trained leaders with the knowledge and skills necessary to make informed recommendations will result in improved effectiveness of these important Great Lakes advisory groups.



# Plattsburgh

STATE UNIVERSITY OF NEW YORK

OFFICE OF SPONSORED RESEARCH AND PROGRAMS  
815 Kehoe Building

April 9, 2002

Ms. Ruth Farrell  
Office of Sponsored Programs  
340 Waterman Bldg.  
Burlington, VT 05405-0160

MAILING ADDRESS  
SUNY Plattsburgh  
101 Broad Street  
Plattsburgh, NY 12901-2681  
TEL (518) 564-2155  
FAX (518) 564-2157

ATTN: Ms. Sarah Cooley, Administrator

Dear Ms. Farrell:

Enclosed is our part of the proposal to NOAA - Department of Commerce Sea Grant for which we are a subcontractor for the proposal submitted by Dr. Jurij Homziak, University of Vermont for the project entitled, "Great Lakes Fisheries Extension Enhancement: Great Lakes Fishery Leadership Institute." This sub-contract is submitted by Plattsburgh State University through the Research Foundation of the State University of New York.

In the event action on the request is favorable, the Research Foundation will serve as fiscal administrator of the award and depository for all funds in support of such award.

Therefore, negotiations concerning fiscal aspects of this award should be made with the Research Foundation representative indicated below. Award notices resulting from this submission or contracts issued should be sent to:

*Research Foundation of SUNY*  
*Mr. Robert Mason*  
*Contracts & Grants Specialist*  
*P.O. Box 9*  
*Albany, NY 12201*  
*(518) 434-7113*

Should you have any questions regarding this application, please contact Mr. Mark Malchoff, at (518) 564-3037 or me at (518) 564-2155.

Thank you for your consideration of the enclosed application

Sincerely yours,

A handwritten signature in cursive script that reads "Susan R. Spissinger".

Susan R. Spissinger  
Associate Vice President for  
Academic Affairs & Research

Enclosures  
SRS:mt

<b>GRANTEE:</b> University of Vermont and State Agricultural College	<b>PROJECT NUMBER:</b>
<b>PROJECT TITLE:</b> Great Lakes Fisheries Leadership Institute: Lake	<b>PROJECT STATUS:</b>
<b>PRINCIPAL INVESTIGATOR(S):</b> Jurij Homziak	
<b>DURATION:</b> 12 Months	

A. SALARY & WAGES					
1. SENIOR PERSONNEL	NO. OF PERSONNEL	MONTHS	SEA GRANT FUNDS	GRANTEE SHARE	
a. (Co) Principal Investigator(s):		0	0		0
b. Associates (Faculty or Staff):					
Sub Total:			0		0
2. OTHER PERSONNEL					
a. Professional(s):					
b. Research Associate(s):					
c. Research Asst. Grad. Student(s):	1		0		
d. Prof. School Student(s):					
e. Pre-Bac. Student(s):			0		
f. Secretarial-Clerical:					
g. Technical-shop:					
h. Misc.:					
Total Salary:			0		0
<b>B. FRINGE BENEFITS:</b>					
1. Total Salary and Fringe Benefits:				0	0
<b>C. PERMANENT EQUIPMENT:</b>					
<b>D. EXPENDABLE SUPPLIES AND EQUIPMENT:</b>				0	
<b>E. TRAVEL</b>					
1. Domestic U.S. (Inc. Puerto Rico) 1.					
2. International 2.					
3. Total Travel:				0	
<b>F. PUBLICATIONS AND DOCUMENTATION COSTS:</b>					
<b>G. OTHER COSTS</b>					
1. Computer Costs:					
2. Equipment Rental:				0	
3. Stipend/Tuition Waiver/Sea Grant Scholars:					
4. Maintenance:					
5. Technical Services:					
6. Support Services:					
7. Consultant:					
8. Other:					0
9. Subcontract: Subcontracts < \$25,000: Plattsburgh State				8,703	0
10.					
Total Other Costs:				8,703	0
<b>TOTAL DIRECT COSTS:</b>				8,703	0
<b>INDIRECT COSTS</b>					
On Campus: Sea Grant		14.9%	of	8,703	1,297
Off Campus: Sea Grant		match	14.9%	of	0
Total Indirect Costs:				1,297	0
<b>TOTAL COSTS:</b>				10,000	0

Form Version 10/4/99		Year 1 - 2000		Revised		04/09/02	
SEA GRANT BUDGET (FORM 90-4)							
Grantee: Plattsburgh State Univ/Research Foundation of SUNY				PROJECT NUMBER:			
PROJECT TITLE:				PROJECT STATUS:			
Great Lakes Fisheries Extension Enhancement: Great Lakes Fishery Leadership Institute				DURATION: 12 Months			
PRINCIPAL INVESTIGATOR(S): Mark Malchoff							
<b>A. SALARY &amp; WAGES</b>							
<b>1. SENIOR PERSONNEL</b>		NO. OF PERSONNE		MONTHS		SEA GRANT FUNDS	GRANTEE SHARE
a. (Co) Principal Investigator(s):		Mark Malchoff		0.022			1,180
b. Associates (Faculty or Staff)		Tim Mihuc		0.022			1,221
Sub Total:							2,381
<b>2. OTHER PERSONNEL</b>							
a. Professional(s):							
b. Research Associate(s):							
c. Research Asst. Grad. Student(s):							
d. Prof. School Student(s):							
e. Pre-Bac. Student(s):						1,600	
f. Secretarial-Clerical:							
g. Technical shop:							
h. Misc.:							
Total Salary:						1,500	2,381
<b>B. FRINGE</b>							
1. Total Salary and Fringe Benefits:		UG Students @ 3%, Staff @ 30%				30	714
						1,530	3,095
<b>C. PERMANENT EQUIPMENT:</b>							
<b>D. EXPENDABLE SUPPLIES AND EQUIPMENT:</b>							
						414	
<b>E. TRAVEL</b>							
1. Domestic U.S. (Inc. Puerto Rico) 1.							
2. International 2.							
3. Total Travel:						600	
<b>F. PUBLICATIONS AND DOCUMENTATION COSTS:</b>							
<b>G. OTHER COSTS</b>							
1. Computer Costs:							
2. Equipment Rental:							
3. Stipend/Tuition Waiver/Sea Grant Scholars:							
4. Maintenance:							
5. Technical Services:							
6. Support Services:							
7. Consultant: Program Speakers							
8. Other: Workshops and Training Sessions @ 5,500		Communications @ 250				5,200	550
9. Subcontract:							
10.							
Total Other Costs:						5,200	550
<b>TOTAL DIRECT COSTS:</b>						7,644	3,645
<b>INDIRECT COSTS</b>							
On Campus:		70.6% of Salaries				1,059	
match		70.6% of Salaries					1,681
Off Campus: Sea Grant							
Total Indirect Costs:						1,059	1,681
<b>TOTAL COSTS:</b>						8,703	5,326

### Budget Justification

The Research Foundation of SUNY serves as the legal applicant and fiscal administrator for all **grants** and contracts for SUNY Institutions.

### Personnel

All funds **requested** and contributed are based on current salaries **factored** by negotiated contractual increases and **the** percent of effort to be devoted to the project. No support is requested for Mr. Malchoff who will **serve** as the Principle Investigator and Project Director of **all** proposed activities as well as **day-**to-day project management. His effort will be absorbed as part of his Lake Champlain Sea Grant work. Similarly, no support is requested for Dr. Timothy Mihuc, Director of the Lake Champlain Research Institute, who will **serve** as Staff Associate for this project. Dr. Mihuc will help to **review** curriculum for the Leadership Institute and **serve** as a **trainer** during the Institute.

### Fringe Benefits

The federally approved Fringe **Benefit** rates through the Research Foundation of SUNY and the allowable cost sharing **rates** for SUNY **contributions** have **been** applied: Students at 3% and SUNY contributions at 3 1.6 1%. See attached chart for Fringe Benefits.

### Supplies

Support is requested **for** standard consumable supplies for project use-paper, disks, cartridges, poster pads, and so forth. Standard projections for use have been applied. All supplies are purchased through lowest available vendor or through NY State contracts.

### Travel

Mileage reimbursement is requested (standard IRS rate of **36.5¢/mile**) to enable project staff to attend consortium planning **meetings** in **Vermont** and New York (State agencies, Stakeholders and project staff on the Great Lakes Fisheries Extension project in Vermont, Dr. Jurij **Homziak, LCSG** and New York, Dr. David **White** and others). We estimate travel mileage to be 1,370 miles.

### Other

Support is requested for the planning and implementation of the Great Lakes Fishery Leadership Institute. These funds will support participant and staff travel and participation to Institute sessions in both **the Lake Champlain area** and **western** New York with their **counterparts** from other Great Lakes regions. The funds will be used for speaker/trainer expenses, travel, per diem where applicable, workshop and Institute materials and supplies, boat time for lake sessions, and so forth. All funds requested will be processed in accordance with Research Foundation of SUNY and NY State Comptroller's **Office** policies and allowable costs. Where purchasing is **necessary**, the lowest available vendor will be used.

Limited support is also **requested** for standard communications between project staff at Plattsburgh, UVM and the partnering Sea Grant Programs **engaged** in this project. The funds requested will support phone, fax and mail.

### Indirect F & A

The Federal Indirect Cost rate **for** Plattsburgh State University through the Research Foundation of SUNY is 70.6% of salaries and wages excluding fringe benefits and all other direct costs.

OMB Control No. 0648-0362  
Expiration Date 10/31/2001

## SEA GRANT PROJECT SUMMARY FORM

- (1) INSTITUTION: **Plattsburgh State University** (1a) ICODE:
- (2) TITLE: **Great Lakes Fisheries Extension Enhancement: Great Lakes Fishery Leadership Institute**
- (3) PROJECT NUMBER: (4) REVISION DATE:
- (5) PROJECT STATUS: (6) INITIATION DATE: **9/1/02**
- (7) COMPLETION DATE: **8/31/03**
- (8) SUB PROGRAM:
- (9) PRINCIPAL INVESTIGATOR: **Mark Malchoff** (9a) EFFORT: 2.2%
- (9b) AFFILIATION: **Lake Champlain Sea Grant**
- (9c) AFFILIATION CODE:
- (10) CO-PRINCIPAL INVESTIGATOR: (10a) EFFORT:
- (10b) AFFILIATION:
- (10c) AFFILIATION CODE:
- (11) ASSOCIATE INVESTIGATOR I: **Timothy Mihuc** (11a) EFFORT: 2.2%
- (11b) AFFILIATION: **Lake Champlain Sea Grant**
- (11c) AFFILIATION CODE:
- (12) ASSOCIATE INVESTIGATOR 2: (12a) EFFORT:
- (12b) AFFILIATION:
- (12c) AFFILIATION CODE:
- (13) S.G. FUNDS: (14) STATE MATCHING FUNDS:
- (15) LAST YEAR'S **SG** FUNDS: (15) LAST YEAR'S MATCHING FUNDS:
- (17) PASS-THROUGH FUNDS: (18) LAST YEAR'S PASS-THROUGH FUNDS:
- (19) RELATED PROJECTS:
- (20) PARENT PROJECTS:
- (21) SEA GRANT STRATEGIC PLAN CLASSIFICATION:
- (22) OBJECTIVES:
1. Develop **stakeholders** from the Lake Champlain and Great Lakes Basin as Great Lakes Fishery Leaders - a cohort of leaders with the knowledge and skills **necessary** to provide effective leadership on fisheries management issues,
  2. **Create** a network of Lake Champlain and Great Lakes leaders from the broadest possible variety of backgrounds and organizations who are able to call upon each other to effectively address **and/or** advocate regional needs
  3. Empower these fishery leaders to influence policy decisions and legislative initiatives
  4. **Maximize the** effective life of citizen volunteers **by** condensing **the** learning curve,

5. Minimize frustration of both Lake Champlain fisheries management agencies and **those** citizen leaders and who do not understand the complexities of **Lake** Champlain fishery-related institutional arrangements, history and science.
6. Expose leadership from Lake Champlain **to the issues and concerns of the Great Lakes** region and **vice versa**.
7. Multiply the ability of Great Lakes Sea Grant Extension to reach constituent audiences using a '**teach-the-teacher**' model.

(23) METHODOLOGY:

A steering committee comprised of **representatives of Great Lakes/Lake** Champlain fisheries **management/research** agencies and current Great Lakes/I&e Champlain fisheries leaders will nominate and select a cadre of emerging fishery leaders to receive **intensive** training designed to enhance the knowledge and skills **necessary** to make them more **effective** leaders and advisors **on** issues relating to the Lake Champlain and Great Lakes fishery. Training will occur at the state, lake and regional level using a **common curriculum** and drawing on partner agency experts to serve as instructors.

The Great **Lakes** Fishery Leadership Institute as proposed **here** is intended as a pilot program. We **are** highly confident in its **success** and anticipate that training of additional cohorts **and/or** advanced training variations will be possible when Great Lakes and Lake Champlain partner agencies and institutions see the value of this training and provide resources for its continuation: should the appropriations for an expanded Sea Grant fisheries extension program increase.

(24) RATIONALE:

Discussion with Great Lakes partner, agencies including the Great Lakes Fishery Commission, U.S. Fish and Wildlife Service, **USGS/BRD Great Lakes** Science Center and NOAA/Great Lakes Environmental Research **Laboratory** revealed a high priority placed on **the** regional need for in-depth training to **the** next generation of leaders \_ i.e., those who are new leaders to their fisheries **related organizations**, those likely to become leaders of their organizations within the next few years, and those likely to be serving as advisors to Great Lakes fishery related institutions such as **Lake** Committees. The proposed Great Lakes Fisheries Leadership Institute is designed to address this need.

The immediate outcome of this pilot Great Lakes Fishery Leadership Institute will be a cohort of **more** than, sixty committed Great Lakes and **Lake** Champlain fishery leaders with the skills and knowledge base necessary to effectively engage fishery **management** agencies **on** the issues and capable of providing sound advice. Anticipated near-term impact of this cohort will be immediately quantifiable through the specific commitments required of all Institute participants. This initial cohort will **provide the** foundation for a long-term, sustained **fisheries** extension effort as, through a teach-the-teacher model, participants raise the level of constituent **understanding**, involvement and action in their home organizations and local communities. Contact of the Great **Lakes** Fishery **Institute** participants with key staff from our partner agencies and Great Lakes fishery managers during the course of the Institute **and** beyond will greatly enhance the **outreach** capacity of these **agencies** -providing them **with** an immediate contact, credible to his or **her** peers, in a wide variety of stakeholder groups. We anticipate that **many**

Institute participants **will serve** on Great Lakes and Lake Champlain **fishery-related** advisory **bodies**, such as **the** Great Lakes Fishery Commission **Lake** Committees. The infusion of trained **leaders** with **the** knowledge and **skills** necessary to make informed **recommendations** will **result** in improved effectiveness of these important Great Lakes advisory groups.